Application for approval of financial guarantee or equivalent insurance

Northern Ireland Environment Agency
www.daera-ni.gov.uk

Regulation (EC) 2024/1157 – The Waste Shipment Regulation (WSR) **Issue date 04/2024**

Please read through these guidance notes before you fill it in. Please write clearly in the spaces provided.

The notifier must put a financial guarantee or equivalent insurance ('financial provision') in place for all notified waste shipments to and from Northern Ireland. This is to provide the competent authorities with guaranteed money to take action if things go wrong with a notified shipment, including, for example, arranging and paying for the return of the waste to the country of origin.

For some types of notified waste shipments to and from Northern Ireland you must obtain our prior approval of the financial arrangements you have made and/or the amount of cover put in place before you ship any waste. You must complete this form to seek that approval. This is in addition to the consents you need from the concerned competent authorities to the notification you are submitting or have submitted to the competent authority of dispatch for the proposed notified waste shipments.

Do I need to make an application?

You must complete and submit this application if you are submitting a notification under the Waste Shipment Regulation (WSR) to the Northern Ireland Environment Agency (NIEA) for:

- an export of waste from Northern Ireland, including a shipment to another EU member state You must obtain our approval of the financial guarantee or equivalent insurance ('financial provision') arrangements you have made, including the amount of cover and the form and wording of the arrangement made, before you make any shipments on the notification.

- an import of waste into Northern Ireland from a non-EU member state. You must obtain our approval for the amount of cover provided under the financial provision arrangements made. If we are not satisfied with the amount of cover provided you must put additional financial provision in place and obtain our approval for those additional arrangements before you make any shipments on the notification.

Do not complete this application if you are submitting a notification for an import to Northern Ireland from another EU member state. Financial provision arrangements meeting the requirements of the WSR must be in place for this type of notification, but the competent authority in the EU member state of dispatch is responsible for assessing and approving the arrangements made.

Calculation of financial guarantee

The calculation of the financial guarantee must follow the requirements set out in Article 6 of Regulation (EC) 2024/1157. We will look for an amount of cover that has been worked out on a "worst case scenario" basis. For example, the cost of disposal or recovery should take account of the possibility that the waste might not be described properly and could contain contaminants which the consignee (person receiving the waste) cannot deal with in an environmentally sound manner. If you use any other approach to calculate the amount of cover required, this could delay your application being approved.

All financial guarantees must be valid for at least 730 days after the final shipment date.

For further information on international notified waste movements, please see "Moving Notified Waste Between Countries – A Guide", which includes information on the financial provision requirements set down in the WSR. This is available to view on the NIEA website at www.daera-ni.gov.uk/articles/transfrontier-shipment-waste.

This application and resulting financial guarantee must be sent to:

Northern Ireland Environment Agency (NIEA)
TFS Section
17 Antrim Road
Lisburn
BT28 3AL

If you require any assistance in the completion of this application please contact 028 9056 9742.

1 Notification No:	**2 Type of Guarantee** Single/Multiple*
3 Applicant **Notifier/Consignee***	**4 Provider of Financial Guarantee Details**
Name	Name
Address	Address
Contact person	Contact person
Tel Fax	Tel Fax
Email	Email

5 Financial Guarantee Calculation
Cost of disposal/recovery of waste in Country of Dispatch per tonne:
Quantity of waste per shipment:
A - Total cost per load:
B - Costs of storage for 90 days:
C - Transport costs:
N - Number of loads to be effected under Financial Guarantee:
Administrative cost (AC): currently £595
Total provision under financial guarantee (**A+B+C**) **x N + AC**:

6 Applicant declaration: I certify that I make this application in accordance with Regulation EC **2024/1157** and the Transfrontier Shipment of Waste Regulations 2007 and that the information given is complete and correct to the best of my knowledge. I understand that that this approval may be refused or withdrawn if I give false or incomplete information. I enclose the original financial guarantee supplied by my provider for your consideration **YES/NO***

Name Signature Date

For official use only

Date Received:	Date FG Received:	Date decision needed:
		Date authorised:

*delete as appropriate.

NB application will be incomplete until financial guarantee is received.

You must ensure that your financial guarantee provider designates the Department of Agriculture, Environment and Rural Affairs (DAERA) Northern Ireland Environment Agency (NIEA) as the competent authority of dispatch.